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US PATENT & TRADEMARK OFFICE  
WRITING DIRECT DIAL NUMBER:  
(703) 816-4006  
EMAIL: ARC@NIXONVAN.COM

11TH FLOOR  
901 NORTH GLEBE ROAD  
ARLINGTON, VIRGINIA 22203-1808

# Nixon & Vanderhye P.C.

ATTORNEYS AT LAW

August 16, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Subject: **REQUEST FOR REFUND TO DEPOSIT ACCOUNT**  
Deposit Account No. 14-1140  
Statement Date: July, 2006  
Date Posted: 7/27/06  
Seq: 5; Posting Ref Text: 10537999  
Confirmation No.: 8776  
Fee Code: 1615; \$250  
Our Ref.: 4105-55

To whom it may concern:

Regarding the Monthly Statement of Deposit Account dated July, 2006, (copy attached), we believe there is a fee related error therein, which has resulted in a charge to our Deposit Account that appears to be unwarranted. Please refer to the following explanation and issue a refund as soon as possible.

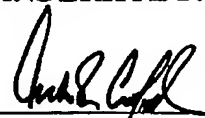
The application was filed on June 9, 2005 with a total of 21 claims and an additional claims fee of \$50. However there were a total of 23 claims and the total additional claims fee should have been \$150 instead of the \$50 paid. Therefore the \$250 charge is in error. It additional charge should only be \$100. Please credit our account (14-1140) for the erroneously charged \$150.

Enclosed is a copy of the filing transmittal sheet of June 9, 2005 as well as a copy of the postcard receipt.

Thank you for your time and assistance, and if you have any questions, please do not hesitate to call me at the above number.

Very truly yours,

NIXON & VANDERHYE P.C.

By:   
Arthur R. Crawford

ARC:eaw

Enclosure

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Sharon



# United States Patent and Trademark Office



Return to:

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Page**Deposit Account Statement**

Requested Statement Month:

July 2006

Deposit Account Number:

141140

Name:

NIXON &amp; VANDERHYE P.C.

Attention:

MICHELLE N. LESTER

Address:

901 NORTH GLEBE ROAD, 11TH FLOOR

City:

ARLINGTON

State:

VA

Zip:

22203-1808

Country:

UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/03	1	REPLENISHMENT		9204	-\$30,000.00	\$50,329.98
07/03	2	10404121	427-70 <i>ARC</i>	1806	\$180.00	\$50,149.98 <i>OK</i>
07/03	4	76638689	2148-78 <i>RWA</i>	6001	-\$120.00	\$50,269.98
07/03	19	6640776	2635-48 <i>LSN</i>	8021	-\$40.00	\$50,309.98
<del>07/03</del>	<del>551</del>	<del>78921243</del>	<del>2764-150 <i>DJB</i></del>	<del>7001</del>	<del>\$325.00</del>	<del>\$49,984.98</del>
07/05	1	10796811	502724	1201	\$80.00	\$49,904.98
07/05	7	10399654	124-1028 <i>SCS</i>	8021	-\$40.00	\$49,944.98
07/05	12	76591584	2-379 <i>DMB</i>	7403	\$100.00	\$49,844.98
07/05	21	10721796	4062-100 <i>GRT</i>	8001	\$30.00	\$49,814.98
07/05	206	10344152	35-263 <i>MJS</i>	1801	\$790.00	\$49,024.98
07/05	207	10344152	35-263	1255	\$2,160.00	\$46,864.98
07/05	208	10344164	35-264	1801	\$790.00	\$46,074.98
07/05	209	10344164	35-264 <i>MJS</i>	1255	\$2,160.00	\$43,914.98
07/05	210	10344164	35-264	1202	\$50.00	\$43,864.98
07/05	356	78922093	1028-989 <i>DMB</i>	7001	\$650.00	\$43,214.98
07/06	1	76538905	27795	6003	\$100.00	\$43,114.98
07/06	2	10957615	2018-934 <i>ML</i>	1806	\$180.00	\$42,934.98
07/06	46	10450164	268-98 <i>AMK</i>	1252	-\$120.00	\$43,054.98
07/06	108	10403701	4734-2503984-000002	1801	\$790.00	\$42,264.98
07/06	109	10403701	<i>MJK</i> 503084-000002	1253	\$1,020.00	\$41,244.98
<del>07/06</del>	<del>1581</del>	<del>11428919</del>	<del>4931-2</del>	<del>4011</del>	<del>\$75.00</del>	<del>\$41,169.98</del>
<del>07/06</del>	<del>1582</del>	<del>11428919</del>	<del>4931-2</del>	<del>2111</del>	<del>\$250.00</del>	<del>\$40,919.98</del>
<del>07/06</del>	<del>1583</del>	<del>11428919</del>	<del>4931-2</del>	<del>2311</del>	<del>\$100.00</del>	<del>\$40,819.98</del>
<del>07/06</del>	<del>1584</del>	<del>11428919</del>	<del>4931-2</del>	<del>2202</del>	<del>\$675.00</del>	<del>\$40,144.98</del>
<del>07/06</del>	<del>1585</del>	<del>11428919</del>	<del>4931-2</del>	<del>2203</del>	<del>\$180.00</del>	<del>\$39,964.98</del>
07/07	474	6861554	2818-128 <i>ARC</i>	8021	\$40.00	\$39,924.98 <i>u ronef</i>
07/10	59	10362418	711-44 <i>SCS</i>	1251	-\$120.00	\$40,044.98

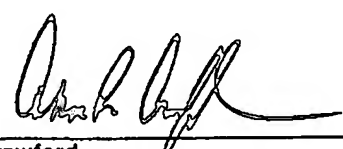
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07/20 129 4814222	8021	\$40.00	\$31,054.98
07/20 130 4812144	8021	\$40.00	\$31,014.98
07/20 131 4807303	8021	\$40.00	\$30,974.98
07/20 132 4787105	8021	\$40.00	\$30,934.98
07/20 133 4748705	8021	\$40.00	\$30,894.98
07/20 134 4742580	8021	\$40.00	\$30,854.98
07/20 135 4739522	8021	\$40.00	\$30,814.98
07/20 136 4734306	8021	\$40.00	\$30,774.98
07/20 137 4732789	8021	\$40.00	\$30,734.98
07/20 138 4723324	8021	\$40.00	\$30,694.98
07/20 139 4707400	8021	\$40.00	\$30,654.98
07/20 140 4690847	8021	\$40.00	\$30,614.98
07/20 141 6521000	8021	\$40.00	\$30,574.98
07/20 142 6308506	8021	\$40.00	\$30,534.98
07/20 143 5519925	8021	\$40.00	\$30,494.98
07/20 144 5514187	8021	\$40.00	\$30,454.98
07/20 145 5419952	8021	\$40.00	\$30,414.98
07/20 146 5396688	8021	\$40.00	\$30,374.98
07/20 147 5330538	8021	\$40.00	\$30,334.98
07/20 154 60007751	2005	\$100.00	\$30,234.98
07/20 210 10252819	1251	\$120.00	\$30,114.98
07/21 3 P112336	1463	\$200.00	\$29,914.98
07/21 280 11077212	8021	\$40.00	\$29,874.98
07/21 443 76532281	8521	\$40.00	\$29,834.98
07/24 4 10526468	1616	\$360.00	\$29,474.98
07/24 5 10526468	1614	\$800.00	\$28,674.98
07/24 24 11454835	1201	\$200.00	\$28,474.98
07/24 1719 78935700	7001	\$325.00	\$28,149.98
07/25 12 7008466	1811	\$100.00	\$28,049.98
07/26 1 10612284	1202	\$50.00	\$27,999.98
07/26 1 10317162	1806	\$180.00	\$27,819.98
07/26 3 10466469	1201	\$200.00	\$27,619.98
07/26 4 10466469	1202	\$50.00	\$27,569.98
07/26 28 10565961	1615	\$50.00	\$27,519.98
07/26 53 1152137	8521	\$40.00	\$27,479.98
07/26 676 78937218	7001	\$325.00	\$27,154.98
07/26 710 78937234	7001	\$325.00	\$26,829.98
07/26 1538 78997630	7001	\$325.00	\$26,504.98
07/26 1786 78997734	7001	\$1,300.00	\$25,204.98
07/27 5 10537999	1616	\$360.00	\$24,954.98
07/27 1746 11460322	1011	\$300.00	\$24,294.98
07/27 1747 11460322	1111	\$500.00	\$23,794.98
07/27 1748 11460322	1311	\$200.00	\$23,594.98
07/27 1749 11460322	1202	\$350.00	\$23,244.98
07/27 1750 11460322	1201	\$400.00	\$22,844.98
07/28 350 78930139	7001	\$325.00	\$22,519.98
07/28 1150 78930631	7001	\$325.00	\$22,194.98

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FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 4105-55
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.53) <b>unknown</b>
INTERNATIONAL APPLICATION NO. PCT/JP2003/015624	INTERNATIONAL FILING DATE 5 December 2003	PRIORITY DATE CLAIMED 12 December 2003
TITLE OF INVENTION INFORMATION RECORDING/READING HEAD, AND INFORMATION RECORDING/REPRODUCING APPARATUS		
APPLICANT(S) FOR DO/EO/US MAEDA et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The U.S. has been elected (Article 31).</li> <li>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (      pages specification, claims &amp; abstract (      claims),      sheets drawings).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(3) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (90 pages specification, claims &amp; abstract (21 claims), 15 sheets drawings).</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3).</li> <li>9. <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4).</li> <li>b. <input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (      page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).</li> </ol> </li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5). Items 11 To 20 below concern document(s) or information included: <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li>13. <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>b. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> </ol> </li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. § 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information. Form PCT/IB/308, International Publication No. WO 2004/053862 A1-coversheet</li> </ol> </li> </ol>		

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U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>unknown</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP2003/015624</b>		ATTORNEY'S DOCKET NUMBER <b>4105-55</b>	
21. <input checked="" type="checkbox"/> The following fees are submitted:					
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b>					
<input checked="" type="checkbox"/> a) Basic national fee .....				\$300.00 (1631)/\$50.00 (263)	\$ 300.00
<input checked="" type="checkbox"/> b) Examination Fee .....				\$200.00 (1633)/\$100.00 (2633)	\$ 200.00
<input checked="" type="checkbox"/> c) Search Fee .....				\$500.00 (1632)/\$250.00 (2632)	\$ 500.00
<b>TOTAL OF ABOVE CALCULATIONS .....</b>				<b>\$1000.00/\$500.00</b>	<b>\$ 1000.00</b>
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof (round up to a whole number)</b>		<b>RATE</b>	
105 -100	5	/50 = 0.10		\$250.00 (1681) \$125.00 (2681)	
Surcharge of \$130.00 (1617)/\$65.00 (2617) for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					\$ 130.00
<b>CLAIMS</b>		<b>NUMBER FILED</b>	<b># EXTRA</b>	<b>RATE</b>	
Total Claims	21	minus 20 =	1 X	\$50.00 (1615)/	\$25.00 (2615)
Independent Claims	13	minus 3 =	10 X	\$200.00 (1614)	\$100.00 (2614)
<b>MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</b>				\$360.00 (1616)/\$180.00 (2616)	
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253)/\$510.00 (2253); Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)					\$ 0.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.					
Processing fee of \$130.00 (1618), for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					0.00
<b>TOTAL NATIONAL FEE =</b>					<b>\$ 3430.00</b>
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property					+
Fee for Petition to Revive Unintentionally Abandoned Application; \$1500.00 (1453) / \$750.00 (2453)					\$ 0.00
<b>TOTAL FEES ENCLOSED =</b>					<b>\$ 3430.00</b>
					Amount to be: refunded \$
					Charged \$
a. <input type="checkbox"/> A check in the amount of \$3430.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A <u>duplicate</u> copy of this form is enclosed. d. <input checked="" type="checkbox"/> <b>CREDIT CARD PAYMENT FORM ATTACHED.</b> e. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/JP2003/015624 and any U.S. and foreign application(s) corresponding thereto, and JP 2002-361180, referred to in this application is/are hereby incorporated by reference in this application. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status. <b>CORRESPONDENCE ADDRESS</b> Direct all correspondence to: <input checked="" type="checkbox"/> <b>Customer Number:</b> <span style="border: 1px solid black; padding: 2px 20px;">23117</span> Type Customer Number here					
Telephone: (703) 816-4000 ARC:alb					
<div style="text-align: right;">   <b>Arthur R. Crawford</b>  NAME  25,327  REGISTRATION NUMBER </div> <div style="text-align: right;"> <b>June 9, 2005</b>  Date </div>					

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**COPY**

US National Phase of PCT/JP2003/015624

C#/M#: 4105-55

Serial No.: unknown

Atty: Arthur R. Crawford

Inventor/s: MAEDA et al.

Date: June 9, 2005

Title: INFORMATION RECORDING/READING HEAD, AND  
INFORMATION RECORDING/REPRODUCING APPARATUS

- ☒ Amendment (Preliminary)  
90 Pages Specification, Claims & Abstract  
21 Claims; 15 Sheets of Drawings (Figs. 1-18)  
☐ Declaration ( Pages)  
☐ Assignment ( Pages) Including Cover  
☐ Priority Document(s)

☐ Check Enclosed (\$ )

☒ Credit Card Payment Form attached (~~\$3,430.00~~)

Other: Form PCT/IB/308, International Publication No. WO  
2004/053862 A1-coversheet



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# Fee History Query

Revenue Accounting and Management

Name/Number: 10537999

Total Records Found: 17

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Fee Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
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11/17/2006	00000008	<u>1</u>	<u>1615</u>	<del>-\$350.00</del>	06/09/2005	OP
11/17/2006	00000009	<u>1</u>	<u>1615</u>	\$100.00	06/09/2005	CC OK
07/27/2006	00000002	<u>1</u>	<u>1632</u>	<del>-\$500.00</del>	06/09/2005	OP
07/27/2006	00000003	<u>1</u>	<u>1681</u>	-\$250.00	06/09/2005	OP
07/27/2006	00000004	<u>1</u>	<u>1642</u>	\$400.00	06/09/2005	CC
07/27/2006	00000005	<u>1</u>	<u>1615</u>	-\$250.00	06/09/2005	DA 141140
07/27/2006	00000007	<u>1</u>	<u>1616</u>	\$360.00	06/09/2005	DA 141140
07/27/2006	00000006	<u>1</u>	<u>1615</u>	-\$350.00	06/09/2005	CC
07/22/2005	00000459	<u>1</u>	<u>8021</u>	\$40.00	07/19/2005	CK
06/14/2005	00000425	<u>1</u>	<u>1631</u>	\$300.00	06/09/2005	CC
06/14/2005	00000426	<u>1</u>	<u>1633</u>	\$200.00	06/09/2005	CC
06/14/2005	00000427	<u>1</u>	<u>1632</u>	<del>-\$500.00</del>	06/09/2005	CC
06/14/2005	00000428	<u>1</u>	<u>1681</u>	\$250.00	06/09/2005	CC
06/14/2005	00000429	<u>1</u>	<u>1617</u>	\$130.00	06/09/2005	CC
06/14/2005	00000430	<u>1</u>	<u>1615</u>	\$50.00	06/09/2005	CC OK
06/14/2005	00000431	<u>1</u>	<u>1614</u>	\$2,000.00	06/09/2005	CC

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